



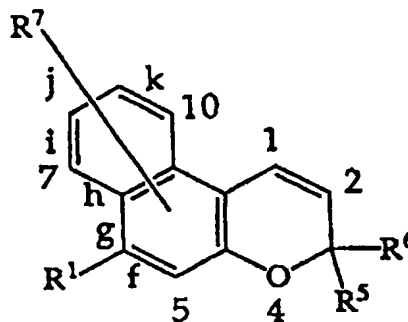
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(21) International Application Number: PCT/GB98/00995 (22) International Filing Date: 3 April 1998 (03.04.98) (30) Priority Data: 9706939.7 4 April 1997 (04.04.97) GB (71) Applicant (for all designated States except US): JAMES ROBINSON LIMITED [GB/GB]; Hillhouse Lane, P.O. Box 83, Huddersfield HD1 6BU (GB). (72) Inventors; and (75) Inventors/Applicants (for US only): CLARKE, David, A. [GB/GB]; 23 Wentworth Court, Rastrick, Brighouse HD6 2XD (GB). HERON, Bernard, Mark [GB/GB]; 63 Welton Road, Brough, East Riding, Yorkshire HU15 1AB (GB). GABBUTT, Christopher, David [GB/GB]; 7 New Row, Knowle Green, Preston, Lancashire PR3 2YS (GB). HEPWORTH, John, David [GB/GB]; 2 Carnoustie Close, Fulwood, Preston, Lancashire PR2 7ER (GB). PARTINGTON, Steven, Michael [GB/GB]; 48 Woodroyd, Golcar, Huddersfield HD7 4PG (GB). CORNS, Stephen, Nigel [GB/GB]; 10 Beech Street, Paddock, Huddersfield HD1 4JN (GB).		(74) Agents: WAIN, Christopher, Paul et al.; A.A. Thornton & Co., Northumberland House, 303-306 High Holborn, London WC1V 7LE (GB). (81) Designated States: GB, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>

(54) Title: RED COLOURING HYPERCHROMIC 3H-NAPHTHO[2,1-b]PYRANS

(57) Abstract

Red colouring hyperchromic compounds having general formula (I), where R¹ is H, NR²R³, OR⁴, SR⁴ or R⁷ wherein R² and R³ are alkyl or carbocyclic groups or together with the nitrogen to which they are attached form a heterocyclic ring; R⁴ is the same as R¹ or is alkyl, perhaloalkyl, aryl or heteroaryl; R⁷ is alkyl, haloalkyl, alkylthio, aryl, arylthio, heteroaryl, halogen, nitrile, carboxylate, ester, nitro, or a carbocyclic or heterocyclic ring fused to faces f, gh, i, j or k; and R⁵ is a cyclic aminoaryl group, an indolinoaryl group, a tricyclic nitrogen heterocycle, or an unsaturated cyclic aminoaryl group.



(I)

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Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
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CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

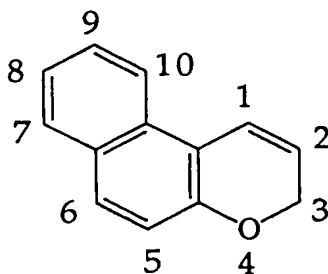
Red Colouring Hyperchromic 3H-Naphtho[2,1-b]pyrans

The present invention relates to novel red colouring, hyperchromic 3H-naphtho[2,1-b]pyran photochromes and articles containing them.

Photochromism is a well-known physical phenomenon and has been detailed in "Photochromism: Molecules and Systems" Studies in Organic Chemistry, 40, Eds. H. Dürr and H. Bouas-Laurent, Elsevier, 1990.

The 3H-naphtho[2,1-b]pyran system is known to be capable of exerting a photochromic effect as described for example by Y. Hirshberg and E. Fischer, J. Chem. Soc., 1954, 3129 and R. Livingstone *et al.*, J. Chem. Soc., 1958, 2422.

The basic 3H-naphtho[2,1-b]pyran structure is illustrated below:



The 3H-naphtho[2,1-b]pyran system has been intensively studied, for example 3-spirocycloalkyl substituted 3H-naphtho[2,1-b]pyrans are described in US patent 4,826,977 (1989), PCT WO 91/00861 (1991) and US patent 5,532,361 (1996).

The photochromic properties of 3-alkyl or 3-cycloalkyl 3-aryl-3H-naphtho[2,1-b]pyrans have been described e.g. US patent 4,818,096 (1989) and PCT WO 92/01959 (1992). However, 3,3-diaryl-3H-naphtho[2,1-b]pyrans have been shown to possess superior photochromic properties *cf.* the 3-spirocycloalkyl and 3-alkyl 3-aryl 3H-naphtho[2,1-b]pyrans, see for example PCT WO 97/06455 (1997) which describes 3-(4-biphenyl)-3-aryl-3H-naphtho[2,1-b]pyrans.

The type and position of substituents on the 3H-naphtho[2,1-b]pyran ring has been shown to be critical in controlling the colour, intensity and speed of the photochromic effect. The following patents illustrate such features: PCT WO 92/09593 (1992) describes 3,3-diaryl-3H-naphtho[2,1-b]pyrans which possess a substituent at the 5-position; PCT WO 95/00867 relates to 3,3-diaryl-3H-naphtho[2,1-b]pyrans which contain an alkoxy or aryloxy substituent at the 8-position; US patent 5,520,853 (1996) describes 3,3-

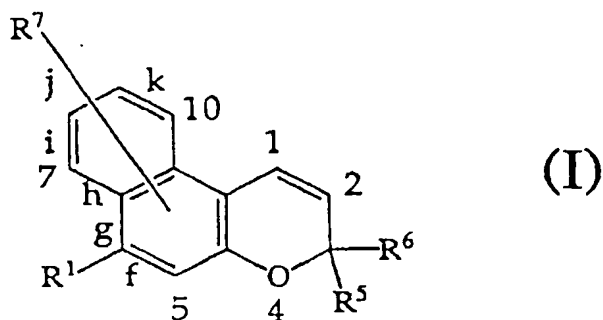
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diaryl-3*H*-naphtho[2,1-*b*]pyrans which contain an alkoxy or aryloxy function in the 6-position. Two closely related articles, PCT WO 94/22850 (1994) and US patent 5,552,090 (1996), claim 3,3-diaryl-3*H*-naphtho[2,1-*b*]pyrans which have a cyclic amino function at the 6-position.

In addition to the effects of substituents located on the 3*H*-naphtho[2,1-*b*]pyrans ring, the presence of an *ortho* substituent on the 3-aryl function of 3,3-diaryl-3*H*-naphtho[2,1-*b*]pyran has been shown to influence the rate of bleaching of the photochromic effect, see US patent 5,066,818 (1991) and PCT WO 95/00866 (1995).

We have now found that the presence of at least one 3(cyclic amino aryl) group imparts surprising and useful effects on the photochromism of 6-substituted-3*H*-naphtho[2,1-*b*]pyrans. In particular, this C-3 substituent not only provides photochromic materials with highly desirable rates of colouration and bleaching (fade) at ambient temperatures, but gives rise to materials which have a high induced optical density in the coloured form. Furthermore, by judicious choice of this C-3 group on the photochrome, the shade of the red colour which develops on irradiation can be fine tuned throughout the red region of the visible spectrum.

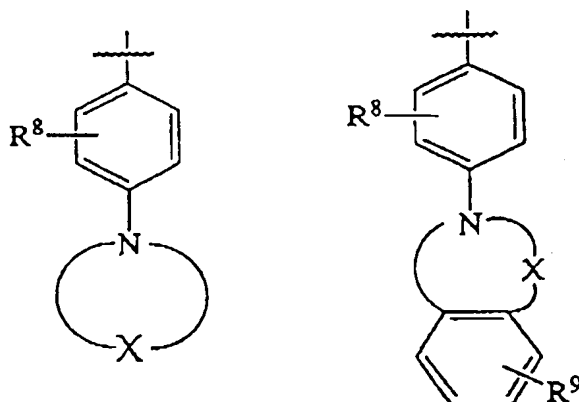
According to the present invention, there is provided a naphtho[2,1-*b*]-pyran of the general formula (I)



wherein R^1 represents either H or a group of the formula $-NR^2R^3$ or $-OR^4$

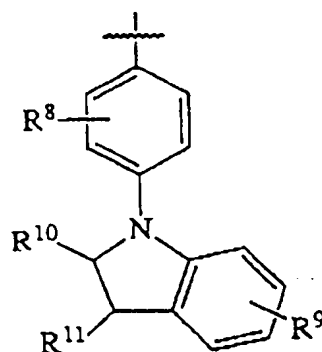
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or SR^4 or R^7 , and wherein when R^1 is NR^2R^3 , each of R^2 and R^3 , which may be the same or different, independently represents an alkyl group or a carbocyclic or heterocyclic group, or R^2 and R^3 taken together with the nitrogen atom to which they are attached represent a heterocyclic ring having one or more hetero atoms and which may be unsubstituted or carry at least one substituent selected from alkyl, aryl and heteroaryl groups; and wherein when R^1 is OR^4 or SR^4 , the substituent R^4 represents an alkyl group, a perhaloalkyl group or an aryl or heteroaryl group; R^5 represents a saturated cyclic aminoaryl substituent selected from:



where the size of the saturated nitrogen-containing ring is from 3 to 30 atoms (inclusive of the N atom) and may incorporate one or more of the same or different heteroatoms or groups (X) where X is O, S, NH, *N*-alkyl, *N*-aryl or *N*-heteroaryl; or

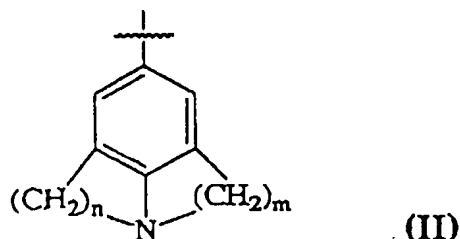
R^5 represents an indolinoaryl substituent of formula



wherein R^{10} and R^{11} can, in addition to those functions specified below, be conjoined to form a ring of 5 to 8 atoms (including those which

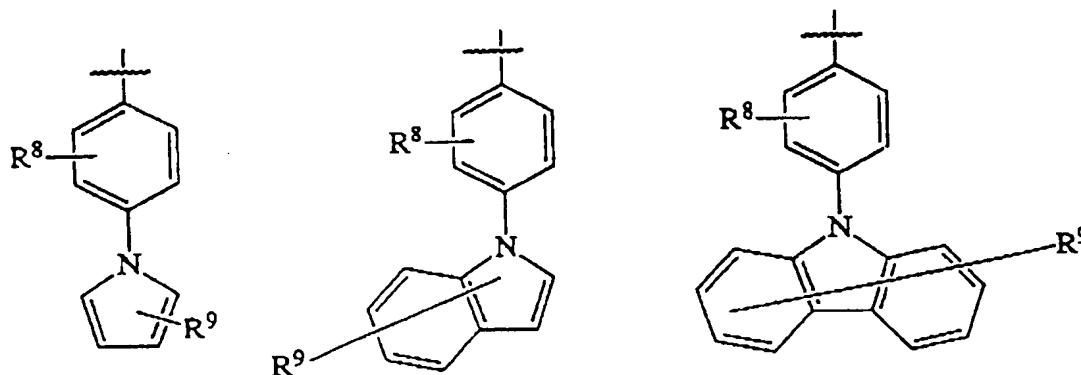
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comprise the indoline ring), said ring being carbocyclic or heterocyclic where one or more of the ring carbon atoms is replaced by one or more of the same or different heteroatoms selected from O, S, or N, said nitrogen atom having either an H, alkyl, aryl or heteroaryl substituent; or R^5 may be of formula (II)



in which both m and n are integers between 2 and 5 and may be the same or different; or

R^5 represents an unsaturated cyclic aminoaryl substituent selected from:



and wherein R^6 may be the same as or different from R^5 , and when R^6 is different from R^5 it is selected from alkyl, alkenyl, alkynyl, carbocyclic, aryl, naphthyl and heteroaryl groups; and wherein R^7 , R^8 , R^9 , R^{10} and R^{11} of which there may be multiples of either the same or different, may be selected from alkyl, haloalkyl, alkythio, aryl, arylthio, heteroaryl, halogen, nitrile, carboxylate, ester, nitro in addition to those substituents specified for R^1 , or R^7 may represent a carbocyclic or heterocyclic ring fused to faces f, gh, i, j or k.

The photochromic properties exhibited by the novel pyran compounds of the present invention, namely those of a desirable rate of bleaching of the coloured form at ambient temperatures, a high induced optical density of the coloured form and control of the shade of red colour, render these compounds particularly useful as photochromic materials for incorporation into polymeric host materials so as to impart photochromic properties to the said polymeric host materials. Examples of applications of the polymeric host materials containing photochromic materials of the present invention include the manufacture of lenses for sunglasses and ophthalmic lenses, optical filters and windows for vehicles such as cars (including sunroofs), aircraft and ships and architectural uses e.g. windows for homes and for photochromic 'stained glass' windows. Additional uses may include incorporation into paints, inks and other like formulations.

The photochromic pyrans of the present invention may be incorporated into the 'plastic' host material by well established protocols for example as described in European patent no. 0254020 or U.S. patent no. 5,066,818.

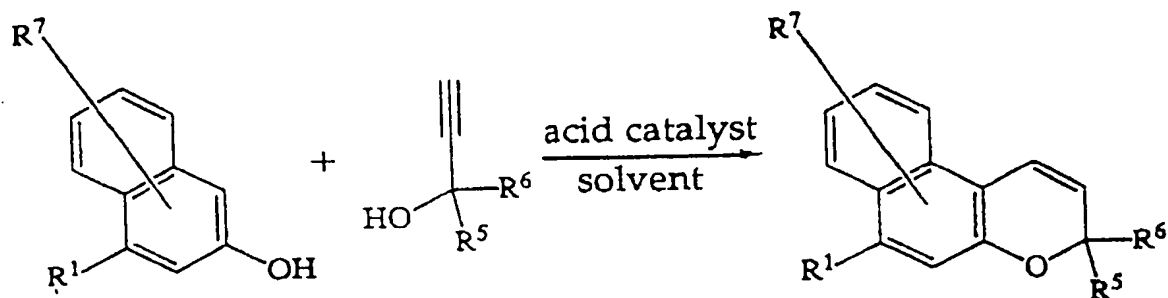
The high induced optical density of the photochromic compounds of the present invention enables the amount of the photochromic materials required so as to impart a useful degree of photochromism to a polymeric host material or to a solution to be greatly reduced, thereby enabling a considerable saving of synthetic effort and cost. Furthermore, the use of reduced quantities of the photochromic materials of the present invention has the bonus that there is a consequent reduction in any undesirable colour that the photochromic materials may impart in the bleached state, either by way of inherent colour of the material itself or by the formation of coloured fatigue/degradation products through use of the photochromic material.

Typical host materials are polymer materials, preferably

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optically clear materials, such as polymers of polyol (allyl carbonate) - monomers, polyacrylates such as polymethylmethacrylates, cellulose acetate, cellulose triacetate, cellulose acetate propionate, cellulose acetate butyrate, poly(vinyl acetate), poly(vinyl alcohol), polyurethanes, polycarbonate, polyethylene terephthalate, polystyrene, poly(triethyleneglycol dimethylacrylate), poly(diethyleneglycol bis(allyl carbonate)) and various copolymer mixes.

The naphtho[2,1-*b*]pyrans of the present invention may be prepared by a general method which is based on the following reaction scheme:



This general synthetic methodology has been described in detail, for example, by L. Merlini in "Advances in Heterocyclic Chemistry", 1975, vol. 18, page 159, and by R. Guglielmetti in "Photochromism: Molecules and systems," Studies in Organic Chemistry 40, chap. 8, Eds. H Dürr and H. Bouas-Laurent, Elsevier, 1990, and also in several patent documents, for example, WO 94/22850 and U.S. Patent No. 5,520,853 (1996). The synthesis of the propargyl alcohols shown in the scheme above are obtained in a known manner, for example, T.F. Rutledge in 'Acetylenic Compounds,' Reinhold, New York, 1968.

The amino substituted benzophenones required for the synthesis of the propargyl alcohols are either commercially available or obtained by documented procedures involving a nucleophilic aromatic substitution of a halide ion from a halogeno benzophenone by a cyclic secondary amine. Nucleophilic aromatic substitution reactions have been documented see for example: Topics in Current Chemistry, 1975, 59, 33-64; Chimia, 1980, 34, 1-11; Accounts of Chemical Research, 1978, 11, 147-52.

The 2-naphthols and related hydroxy compounds are either commercially available or obtained by known synthetic methods, or derived from such methods see for example WO 94/22850.

The acid catalyst may be selected from acidic alumina

(Brockmann 1), acetic acid, trifluoroacetic acid, aryl or alkyl sulfonic acids, silica, clays (e.g. montmorillonite, tonsil) or acidic exchange resins.

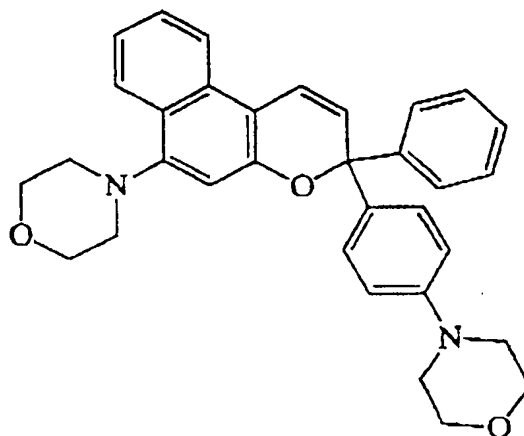
Organic solvents frequently employed for the reaction include benzene, toluene, xylene and relatively high boiling alkanes.

In order that the invention may be more fully understood, the following Examples are given by way of illustration only.

Example 1

6-Morpholino-3(4-morpholinophenyl)-3-phenyl-3*H*-naphtho[2,1-*b*]pyran.

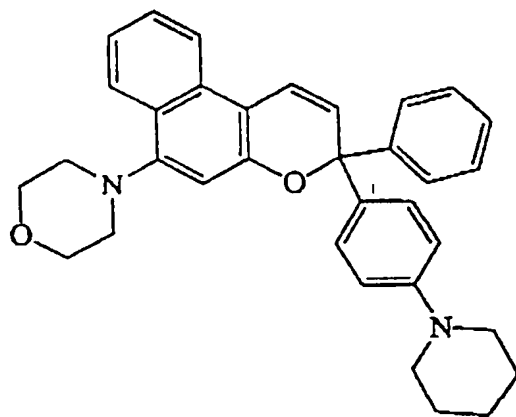
A solution of 4-morpholino-2-naphthol (1.5g, 6.5 mmol) and 1(4-morpholinophenyl)-1-phenylprop-2-yn-1-ol (1.92g, 6.5 mmol) in toluene (65 cm³) containing acidic alumina (Brockmann 1), (4.0g) was refluxed for 60 minutes. The cooled solution was filtered and the alumina was washed well with EtOAc (200 cm³). Removal of the solvent from the filtrate gave an oil which solidified on standing at room temperature. Recrystallisation from EtOAc/hexane gave 6-morpholino-3(4-morpholinophenyl)-3-phenyl-3*H*-naphtho[2,1-*b*]pyran (yield = 2.4g, theoretical yield = 3.3g 73%, m.p. = 187 - 188°C (uncorrected), λ_{\max} in toluene = 469 nm, i.e. red-orange).



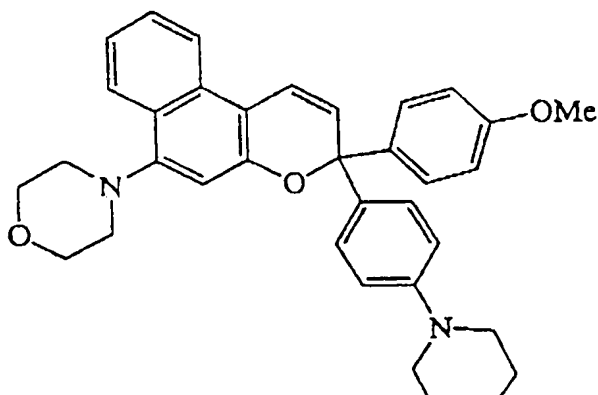
Examples 2-5

Using an identical protocol the following 3*H*-naphtho[2,1-*b*]pyrans were obtained.

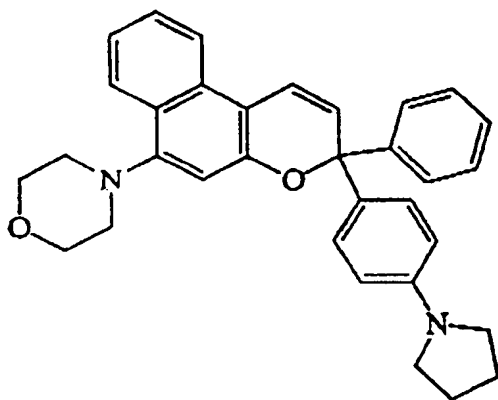
- (2) 6-Morpholino-3(4-piperidinophenyl)-3-phenyl-3*H*-naphtho[2,1-*b*]pyran from 4-morpholino-2-naphthol and 1-phenyl-1-(4-piperidinophenyl)-prop-2-yn-1-ol (yield = 1.76g, theoretical yield = 2.19g 80% after recrystallisation from EtOAc and hexane, m.p. = 170.5 - 172°C (uncorrected), λ_{max} in toluene = 485 nm, i.e. red-orange).



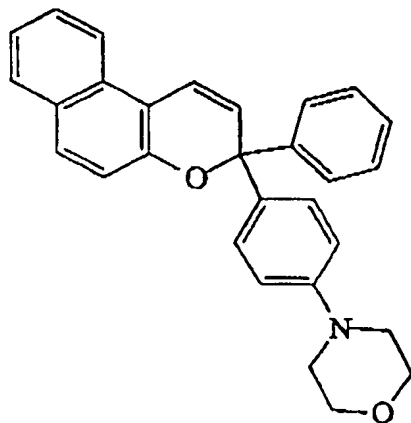
- (3) 3(4-Methoxyphenyl)-6-morpholino-3(4-piperidinophenyl)-3*H*-naphtho-[2,1-*b*]pyran from 4-morpholino-2-naphthol and 1-(4-methoxyphenyl)-1-(4-piperidinophenyl)-prop-2-yn-1-ol (yield = 1.74g, theoretical yield = 2.32g 75% after recrystallisation from EtOAc, hexane and ethanol, m.p. = 247-249°C (uncorrected), λ_{max} in toluene = 489 nm, i.e. red-orange).



- (4) 6-Morpholino-3(4-pyrrolidinophenyl)-3-phenyl-3*H*-naphtho[2,1-*b*]pyran from 4-morpholino-2-naphthol and 1-phenyl-1(4-pyrrolidinophenyl)-prop-2-yn-1-ol (yield = 1.4g, theoretical yield = 2.13g 66% after recrystallisation from EtOAc, hexane and a trace of ethanol, m.p. = 220-222°C (uncorrected), λ_{max} in toluene = 513 nm, i.e. red).



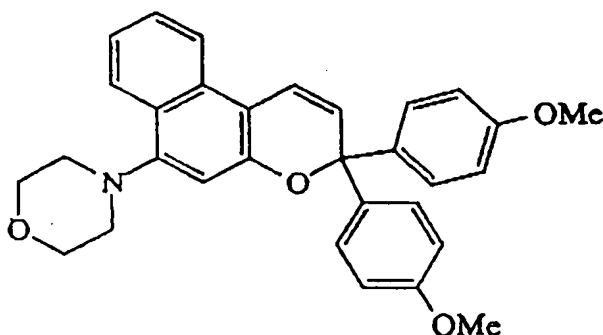
- (5) 3(4-Morpholinophenyl)-3-phenyl-3*H*-naphtho[2,1-*b*]pyran from 2-naphthol and 1(4-morpholinophenyl)-1-phenylprop-2-yn-1-ol (yield = 71% after recrystallisation from EtOAc and hexane, m.p. = 186-187°C (uncorrected), λ_{max} in toluene = 500 nm, i.e. red).



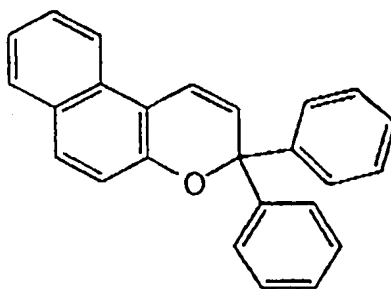
Examples 6 and 7

By way of comparison the following were prepared. These materials exhibited a yellow colour.

- (6) 3,3-Di(4-methoxyphenyl)-6-morpholino-3*H*-naphtho[2,1-*b*]pyran from 4-morpholino-2-naphthol and 1,1-di(4-methoxyphenyl)-prop-2-yn-1-ol (m.p. = 210-212°C (uncorrected), λ_{max} in toluene = 447 nm).



- (7) 3,3-Diphenyl-3*H*-naphtho[2,1-*b*]pyran from 2-naphthol and 1,1-diphenylprop-2-yn-1-ol (m.p. = 162-164°C (uncorrected), λ_{max} in toluene = 430 nm).



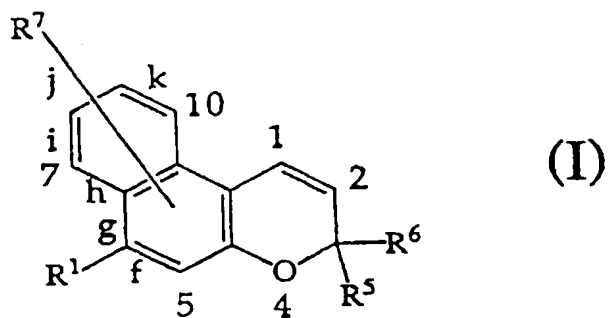
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Example 8

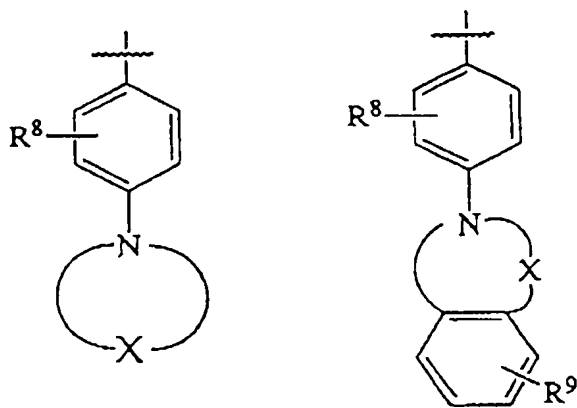
Pyran of the invention were mixed with polymers to make compositions of the invention. For example, polyurethane compositions were made by mixing the pyran with the monomers and then polymerising. Coloured polyethylene and polypropylene compositions can be made by blending the pyran with the respective polymer, and then extruding. The amount of pyran can vary widely but normally an amount of from 0.1 to 0.3% by weight of the composition is used.

CLAIMS:

1. A naphtho[2,1-*b*]-pyran of the general formula (I)



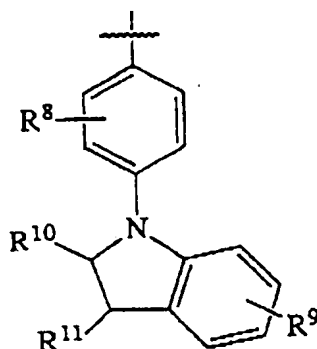
wherein R^1 represents either H or a group of the formula $-NR^2R^3$ or $-OR^4$ or SR^4 or R^7 , and wherein when R^1 is NR^2R^3 , each of R^2 and R^3 , which may be the same or different, independently represents an alkyl group or a carbocyclic or heterocyclic group, or R^2 and R^3 taken together with the nitrogen atom to which they are attached represent a heterocyclic ring having one or more hetero atoms and which may be unsubstituted or carry at least one substituent selected from alkyl, aryl and heteroaryl groups; and wherein when R^1 is OR^4 or SR^4 , the substituent R^4 represents an alkyl group, a perhaloalkyl group or an aryl or heteroaryl group; R^5 represents a saturated cyclic aminoaryl substituent selected from:



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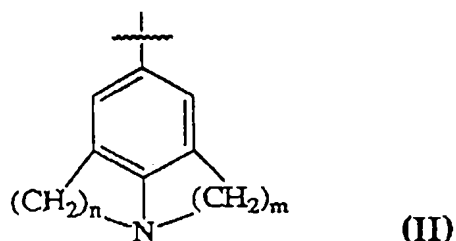
where the size of the saturated nitrogen-containing ring is from 3 to 30 atoms (inclusive of the N atom) and may incorporate one or more of the same or different heteroatoms or groups (X) where X is O, S, NH, *N*-alkyl, *N*-aryl or *N*-heteroaryl; or

R^5 represents an indolinoaryl substituent of formula



wherein R^{10} and R^{11} can, in addition to those functions specified below, be conjoined to form a ring of 5 to 8 atoms (including those which comprise the indoline ring), said ring being carbocyclic or heterocyclic where one or more of the ring carbon atoms is replaced by one or more of the same or different heteroatoms selected from O, S, or N, said nitrogen atom having either an H, alkyl, aryl or heteroaryl substituent; or

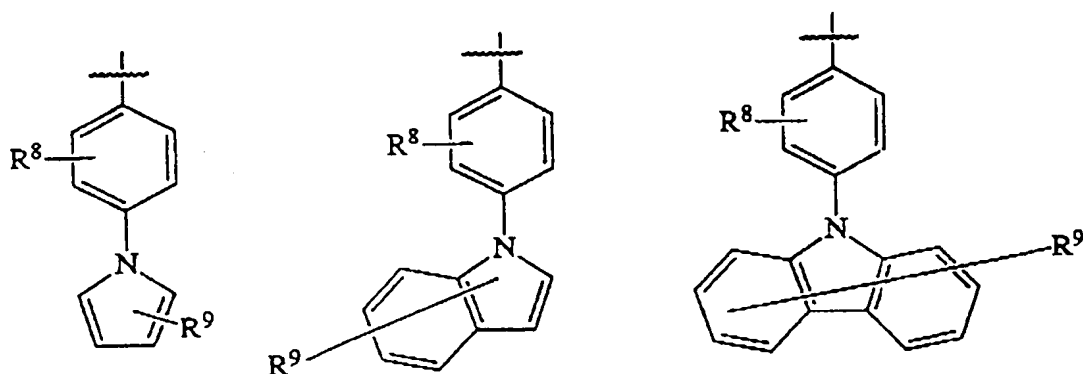
R^5 may be of formula (II)



in which both *m* and *n* are integers between 2 and 5 and may be the same or different; or

R^5 represents an unsaturated cyclic aminoaryl substituent selected from:

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and wherein R^6 may be the same as or different from R^5 , and when R^6 is different from R^5 it is selected from alkyl, alkenyl, alkynyl, carbocyclic, aryl, naphthyl and heteroaryl groups; and wherein R^7 , R^8 , R^9 , R^{10} and R^{11} of which there may be multiples of either the same or different, may be selected from alkyl, haloalkyl, alkythio, aryl, arylthio, heteroaryl, halogen, nitrile, carboxylate, ester, nitro in addition to those substituents specified for R^1 , or R^7 may represent a carbocyclic or heterocyclic ring fused to faces f, gh, i, j or k.

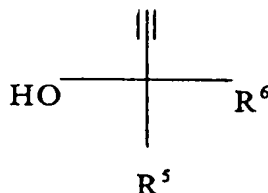
2. A naphthopyran compound according to claim 1, wherein the R^1 substituent is a piperidino group.
3. A naphthopyran compound according to claim 1, wherein the R^1 substituent is a morpholino group.
4. A naphthopyran compound according to claim 1, wherein the R^1 substituent is a *N*-alkylpiperazino group.
5. A naphthopyran compound according to claim 1, wherein the R^1 substituent is a *N*-indolino group.

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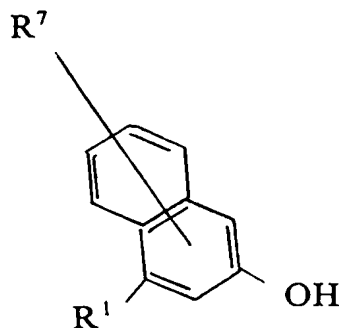
6. A naphthopyran compound according to claim 1,2,3,4 or 5, where the R⁵ substituent is a 4-morpholinophenyl group, a 4-pyrrolidinophenyl group or a 4-(4-methylpiperazino)phenyl group, and R⁶ substituent is a phenyl group, a 4-methoxyphenyl group, a 2,4-dimethoxyphenyl group, a 4-trifluoromethylphenyl group, 2-thienyl or 3-thienyl.
7. A naphthopyran compound according to any of claims 1 to 6, wherein m and n are both 3.
8. 6-morpholino-3(4-morpholinophenyl)-3-phenyl-3*H*-naphtho-[2,1-*b*]pyran.
9. 6-morpholino-3(4-piperidinophenyl)-3-phenyl-3*H*-naphtho- [2,1-*b*]pyran.
10. 6-morpholino-3(4-morpholinophenyl)-3(2-thienyl)-3*H*-naphtho-[2,1-*b*]pyran.
11. 3(4-methoxyphenyl)-6-morpholino-3(4-piperidinophenyl)-3*H*-naphtho-[2,1-*b*]pyran.
12. 6-morpholino-3(4-pyrrolidinophenyl)-3-phenyl-3*H*-naphtho-[2,1-*b*]pyran.
13. 6-morpholino-3(4-morpholinophenyl)-3(4-trifluoromethyl-phenyl)-3*H*-naphtho[2,1-*b*]pyran.

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14. 3(4-morpholinophenyl)-3-phenyl-3*H*-naphtho[2,1-*b*]pyran.
15. 3(4-morpholinophenyl)-3-(4-methoxyphenyl)-3*H*-naphtho[2,1-*b*]pyran.
16. A naphtho[2,1-*b*]pyran as defined in claim 1, substantially as herein described.
17. A method of making a naphtho[2,1-*b*]pyran as defined in claim 1, which comprises reacting an acetylenic derivative of formula



with a naphthalene derivative of formula



in the presence of an acid catalyst and a solvent.

18. A method according to claim 16 substantially as herein described in Example 1,2,3,4 or 5.
19. A composition which comprises a polymeric material; and a naphtho[2,1-*b*]pyran as defined in any of claims 1 to 16.

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20. A composition according to claim 19, wherein the polymeric material comprises a polyol(allyl carbonate) polymer; a polyacrylate; cellulose acetate, triacetate, acetate propionate or acetate butyrate; poly(vinyl acetate); poly(vinyl alcohol); polyurethane; polycarbonate; polyethylene terephthalate; polystyrene; poly-(triethyleneglycol dimethacrylate); poly(diethyleneglycol bis(allyl carbonate)).

21. An ophthalmic lens or sunglasses lens, an optical filter, a window, a paint or an ink which comprises a naphtho[2,1-*b*]pyran as claimed in any of claims 1 to 16.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 98/00995

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07D311/92 C07D405/10 G02B5/23 G03C1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07D G03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 250 193 A (THE PLESSEY CY.) 23 December 1987 cited in the application see the whole document ---	1, 16-20
A	WO 95 00866 A (PPG INDUSTRIES) 5 January 1995 cited in the application see claims --- -/--	1, 16-20



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

8 July 1998

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International Application No

PCT/GB 98/00995

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	<p>CHEMICAL ABSTRACTS, vol. 127, no. 3, 1997 Columbus, Ohio, US; abstract no. 42375x, page 1327; XP002070792 see abstract & JP 09 124 645 A (TOKUYAMA SODA) 13 May 1997</p> <p>-----</p>	1, 16-20

INTERNATIONAL SEARCH REPORT

Information on patent family members

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